

REGIECH EXCLUSIVE

ISSUE 17 - JANUARY 2021

Events

CRTA RegTech Vendor Showcase: Solutions for Client Focused Reforms

January 26, 2021 – 12:30 - 2pm

Learn what these CRTA members have to offer!











CRTA Board Member Wendy Rudd speaks <u>speaks</u> about this upcoming event.



Help shape our 2021 plans!

Please complete this short survey:



New Podcast

Building an Asset-specific Data Practice

Next Podcast

SupTech & RegTech Innovation in Canadian Securities Regulation

FROM THE TOP



2020 was not all 'doom and gloom' – RegTech continued its upward growth trajectory with over \$5 billion invested in RegTech in 2020. As Covid intensified regulatory challenges, technology is increasingly

being recognized as an integral part of any compliance program. For the CRTA, 2020 was an incredibly positive year and we were able to reach many of our goals and <u>milestones</u>. We closed out our second year with a record 9 new member firms in December. Covid altered the lens and in some cases accelerated our <u>2020 predictions</u>, but the broad themes were on the mark. It's difficult to predict what 2021 holds and I hesitate to say 'same, same' yet many of the themes from 2021, such as surveillance, privacy, operational resiliency, and culture and conduct, will carry forward. One thing I think we will see is that, instead of looking for one-size-fits-all solutions, FIs will take a more comingled approach of combining solutions and emerging technologies to produce optimal results.

CRTA Welcomes 9 New Participants!

















SAYARI

Member Spotlight - Finiti



Meet Larry Bannerman – President

Tell us a little about your organization and why you got into this space.

At Finiti, our goal is to reduce the regulatory reporting burden associated with producing financial statements and MRFPs. Our team of project managers, technologists, proofreaders and designers is nimble and flexible, because filing deadlines aren't. Since 1988, we've lived the inevitability of change from any number of stakeholders, including securities commissions, auditors, legal counsel, translation services, and shifting products and brands. READ MORE

Canadian Privacy Law 2.0

Myron Mallia-Dare, a business and technology lawyer from Miller Thompson in Toronto and CRTA advisor, provides perspective on the recently proposed privacy reforms and how they may impact firms using artificial intelligence – READ MORE. Myron will host our RegTech Round-up Podcasts throughout 2021, bringing in senior leaders and founders to discuss regulatory challenges and emerging trends.