

Video Highlights: Accelerating Digital Transformation while Balancing Risks & Rewards



Upcoming Event

CRTA RegTech Vendor Showcase: Solutions for Client Focused Reforms

Date TBD – Follow us for updates

New Podcasts Coming Soon

SupTech in Action: How Canadian Regulators are Adopting Technology

Building an Enterprise-wide Data Governance Framework

FROM THE TOP



Our November 24th event – **Accelerating Digital Transformation while Balancing Risks and Rewards** – was a success! John Bottega, 3-time CDO, delivered an inspiring keynote, followed by an incredibly insightful panel featuring 4 CDOs (BMO, Manulife, OSFI, Scotia) that drew rave reviews from attendees. Neal Oswald, COO, Concentra Bank, spoke of innovation that will drive value for clients under open banking. A third panel featured candid insights from OSFI’s Managing Director of Technology Risk! We’ve included some highlights here and on our website. Next, the CRTA is turning its focus to the client focused reforms. With 2021 deadlines fast approaching, regulated entities in the wealth -management sector need solutions NOW. We are planning an inaugural *virtual* vendor showcase that we hope will familiarize firms with the RegTech products and services offered by CRTA members and find the solutions they need. Please stay tuned for details on our website and social media. Finally, we are pleased to add [Katherine Macpherson](#), Partner and Canadian Non-Financial Risk Leader at Ernst and Young, to our advisory team. We look forward to working with Katherine and E&Y to drive Canada’s innovation agenda forward.

CRTA Welcomes New Participants



Member Spotlight – Alpha CCO

AlphaCCO Meet Yvan Proteau – CEO

Tell us a little about your organization.

Founded in 2015, [Alpha CCO](#) is a flexible and easy-to-use SaaS platform for automating and operationalizing Governance, Risk and Compliance activities. It offers:

- greater transparency in the design and application of internal controls
- faster response to regulatory changes
- automatic collection of the necessary evidence in the event of an audit
- management of GRC costs
- reduction in the risks associated with non-compliance. [READ MORE](#)

Insights from a Virtual Roundtable on Strategies & Solutions for Meeting Client Focused Reforms

New CRTA participant, **InvestorCOM**, recently hosted an exclusive roundtable event where executives from 16 leading wealth firms shared their most pressing compliance challenges and discussed strategies and solutions for meeting CFR requirements. [Find out](#) what firms are doing to prepare their advisors and dealers for the upcoming CFR rules.